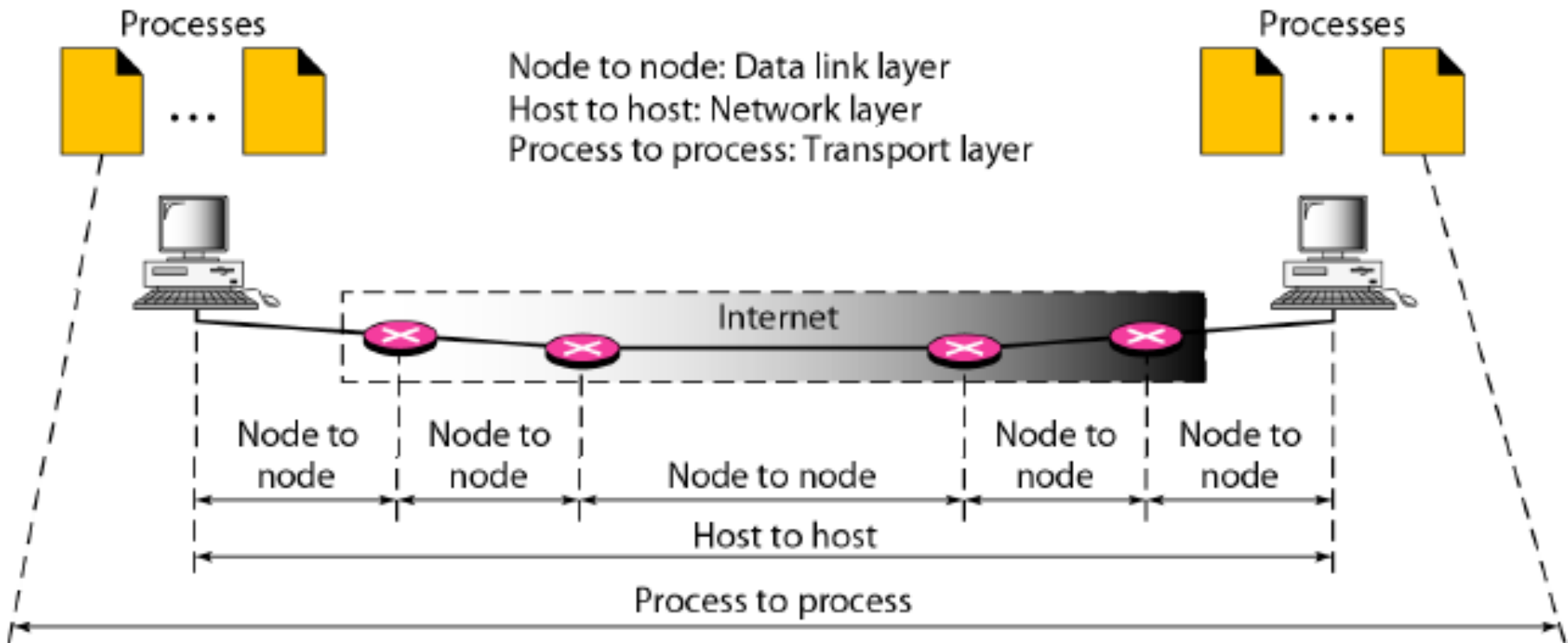


# Transport Layer

# Transport Layer

The transport layer is responsible for the delivery of a message from one process to another

# Types of Data Deliveries

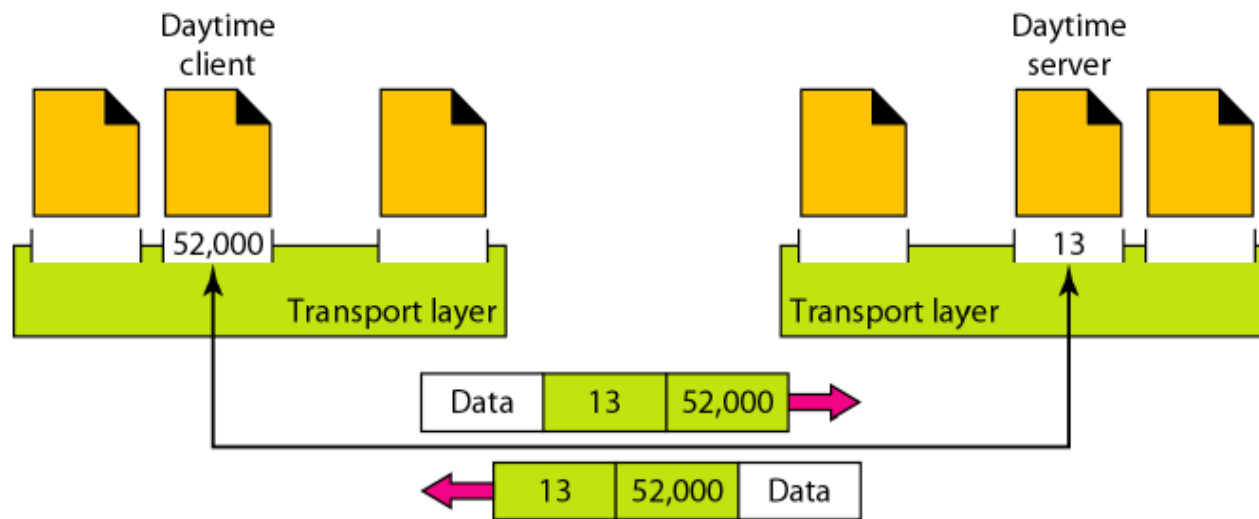


# Client/Server Paradigm

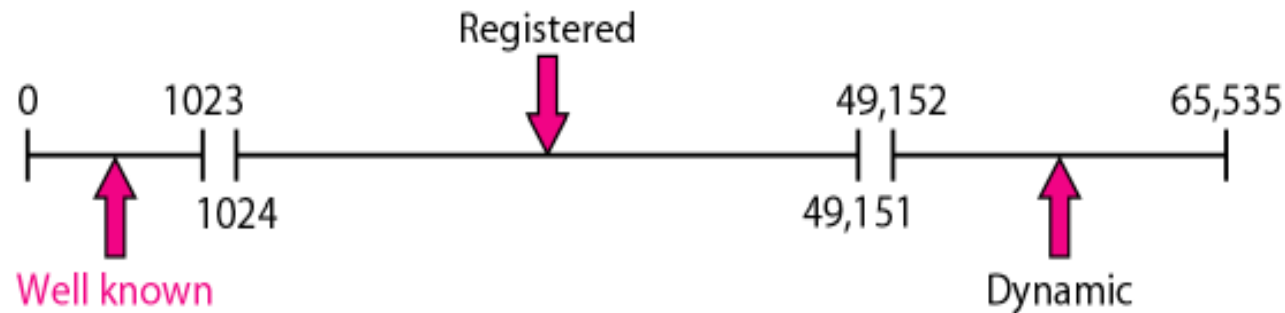
- An application program on the local host, called the client, needs services from an application program on the remote host, called a server

# Port Number

- 16-bit integers between 0 and 65,535
- Client program defines itself with a port number (**ephemeral port number**), chosen randomly by the transport layer software running in the client host
- Servers use universal port numbers (**well-known port number**)



# Port Number (2)



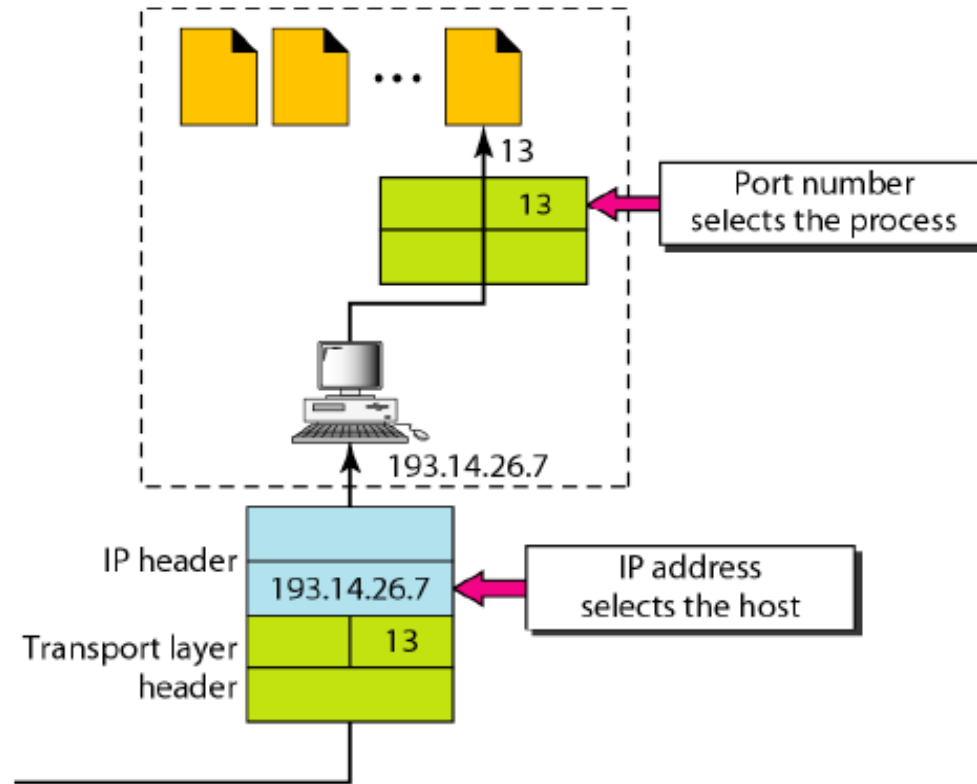
- **Well-known ports** – ports ranging from 0 to 1023 and are assigned and controlled by IANA
- **Registered ports** – ports ranging from 1024 to 49,151 and are not assigned or controlled by IANA. They can only be registered to prevent duplication
- **Dynamic** (or private) **ports** – ports ranging from 49,152 to 65,535 and are neither controlled nor registered. They can be used by any process

# Port Number (3)

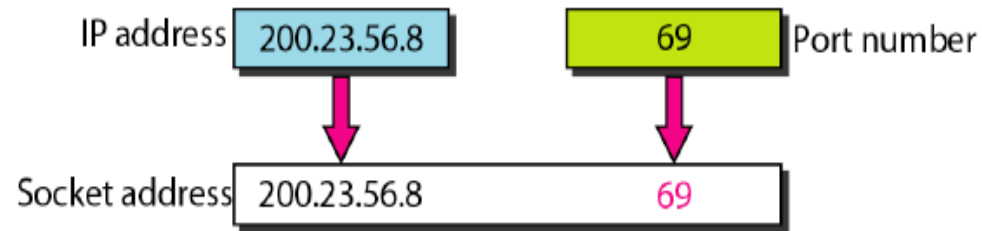
- In UNIX, the well-known ports are stored in a file called */etc/services*. Each line gives the name of the server and the well-know port number. Use *grep* utility to extract the line to get corresponding desired application

# Socket Address

## IP Address vs Port Numbers

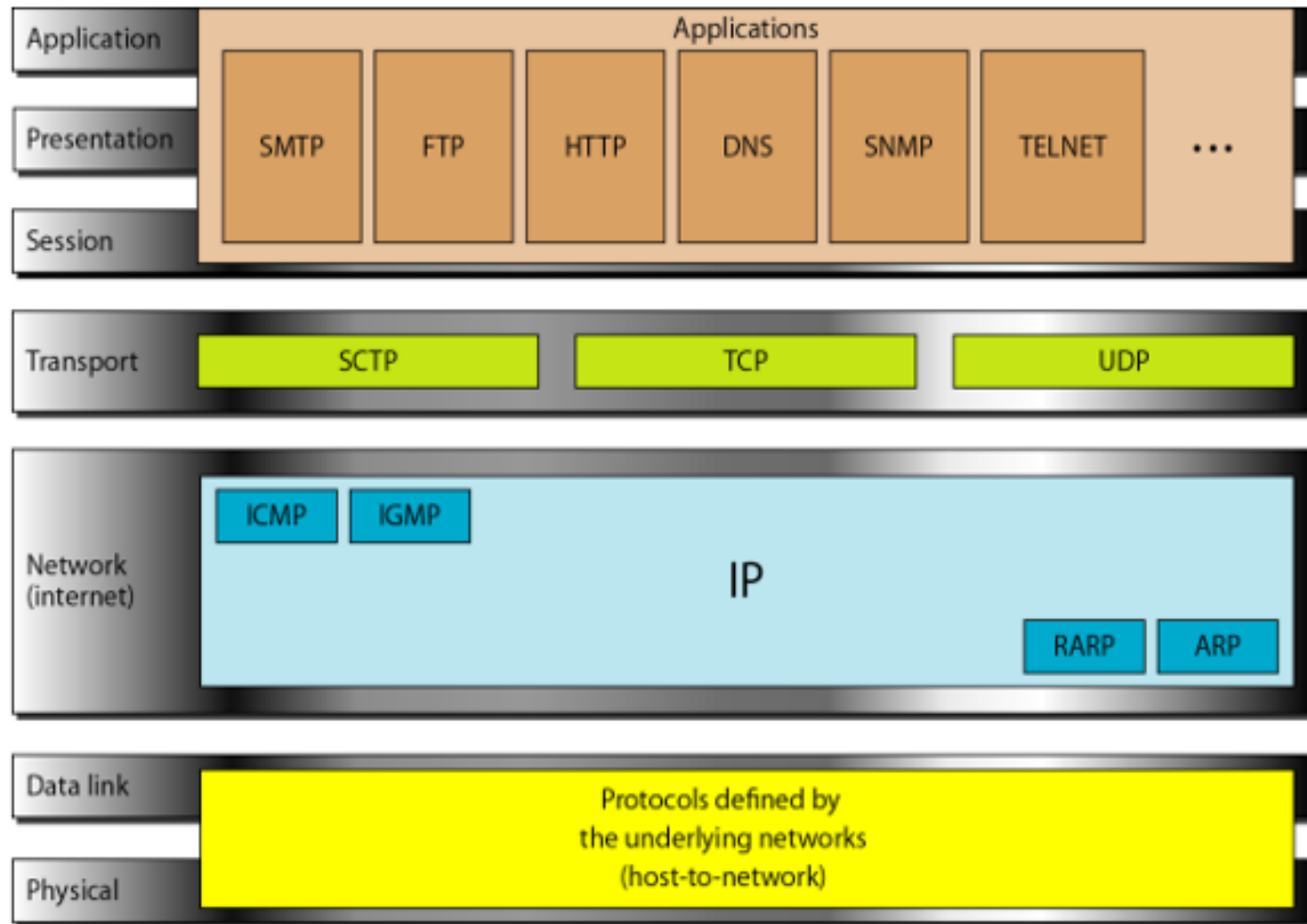


## Socket Address





# UDP, TCP, SCTP in TCP/IP Protocol Suite



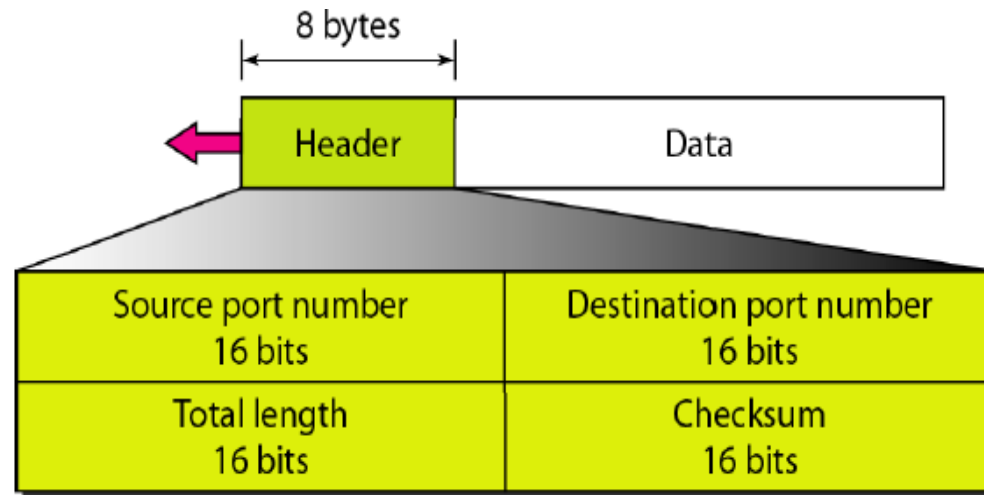
# User Datagram Protocol

- Connectionless, unreliable transport protocol
- Does not add anything to IP except provide process-to-process communication
- Simple protocol using minimum overhead
- RFC 768

# User Datagram Protocol (2)

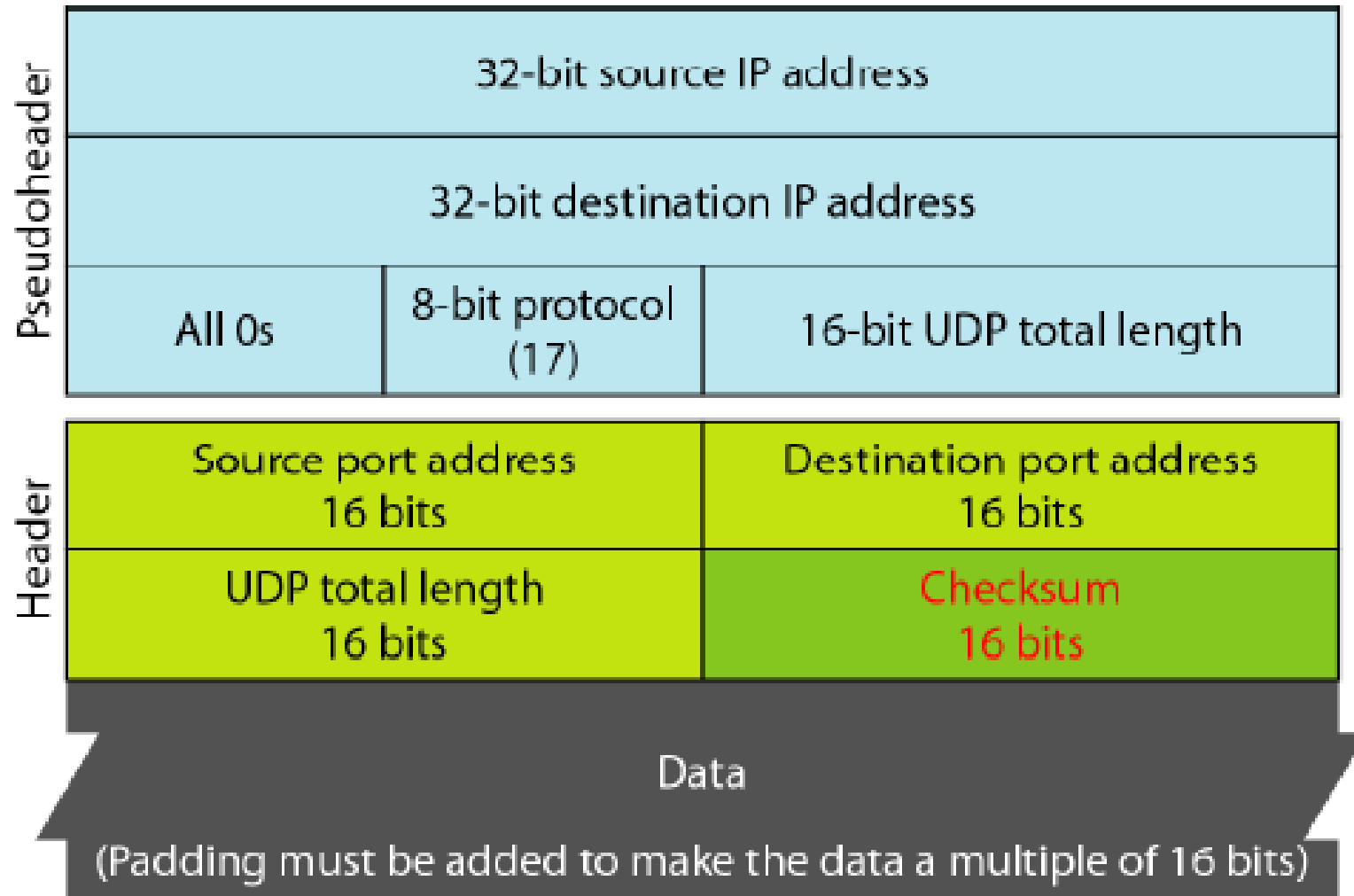
<i>Port</i>	<i>Protocol</i>	<i>Description</i>
7	Echo	Echoes a received datagram back to the sender
9	Discard	Discards any datagram that is received
11	Users	Active users
13	Daytime	Returns the date and the time
17	Quote	Returns a quote of the day
19	Chargen	Returns a string of characters
53	Nameserver	Domain Name Service
67	BOOTPs	Server port to download bootstrap information
68	BOOTPc	Client port to download bootstrap information
69	TFTP	Trivial File Transfer Protocol
111	RPC	Remote Procedure Call
123	NTP	Network Time Protocol
161	SNMP	Simple Network Management Protocol
162	SNMP	Simple Network Management Protocol (trap)

# UDP Format



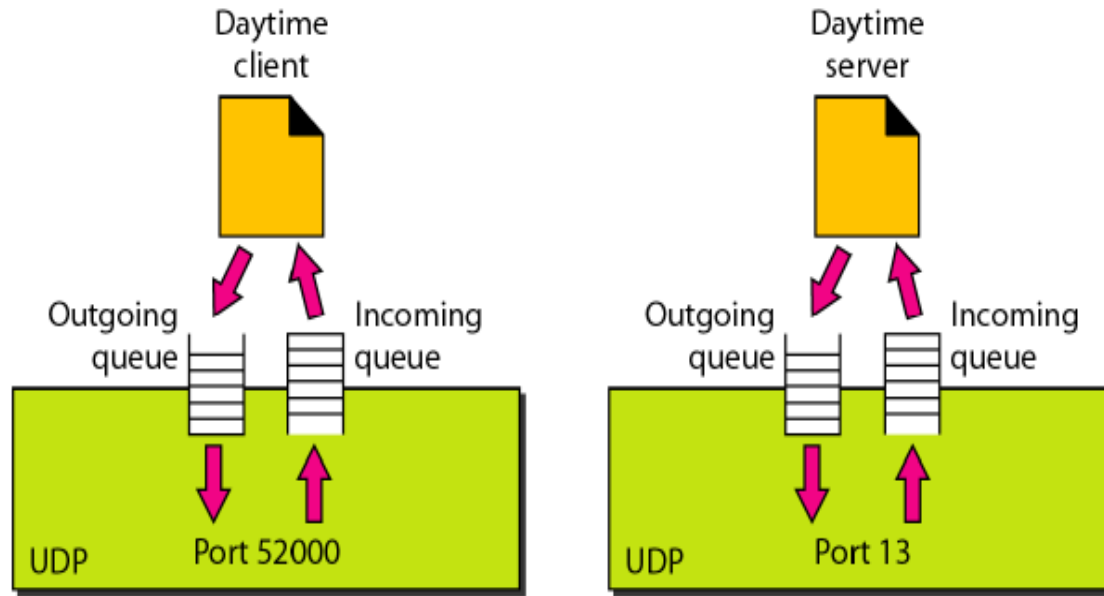
- **Source port number** – 16-bit long number used by the process running on the source host
- **Destination port number** - 16-bit long number used by the process running on the destination host
- **Length** – 16-bit field that defines the total length of the user datagram, header plus data
- **Checksum** – used to detect errors over the entire user datagram

# Pseudoheader for checksum calculation



# Queuing

- Some implementations create both an incoming and outgoing queue associated with each process; some only create an incoming queue
- If no queue, UDP discards the user datagram and asks ICMP to send a port unreachable message to the server

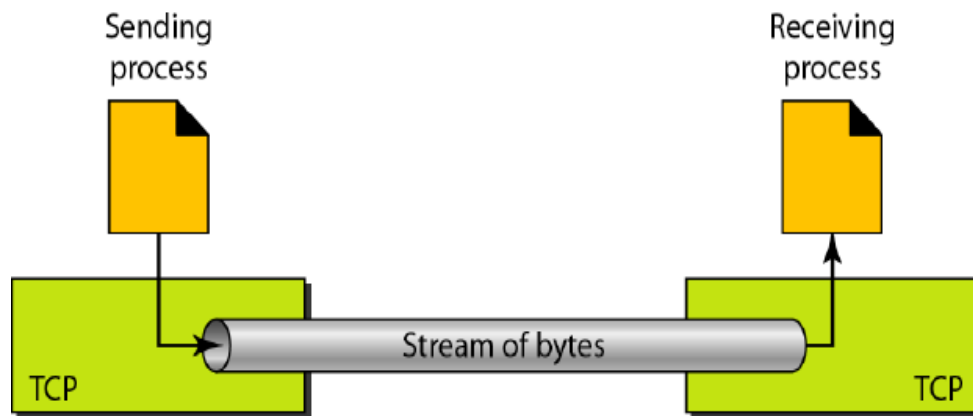


# Use of UDP

- Suitable for a process that requires simple request-response communication
- Suitable for a process with internal flow and control mechanism
- Suitable for multicasting
- Used for management processes such as SNMP
- Used for some routing protocols such as RIP

# Transmission Control Protocol

- Connection-oriented, reliable transport protocol
- Unlike UDP, it allows the sending process to deliver data as a stream of bytes and allow the receiving process to obtain data as stream of bytes
- RFC 793

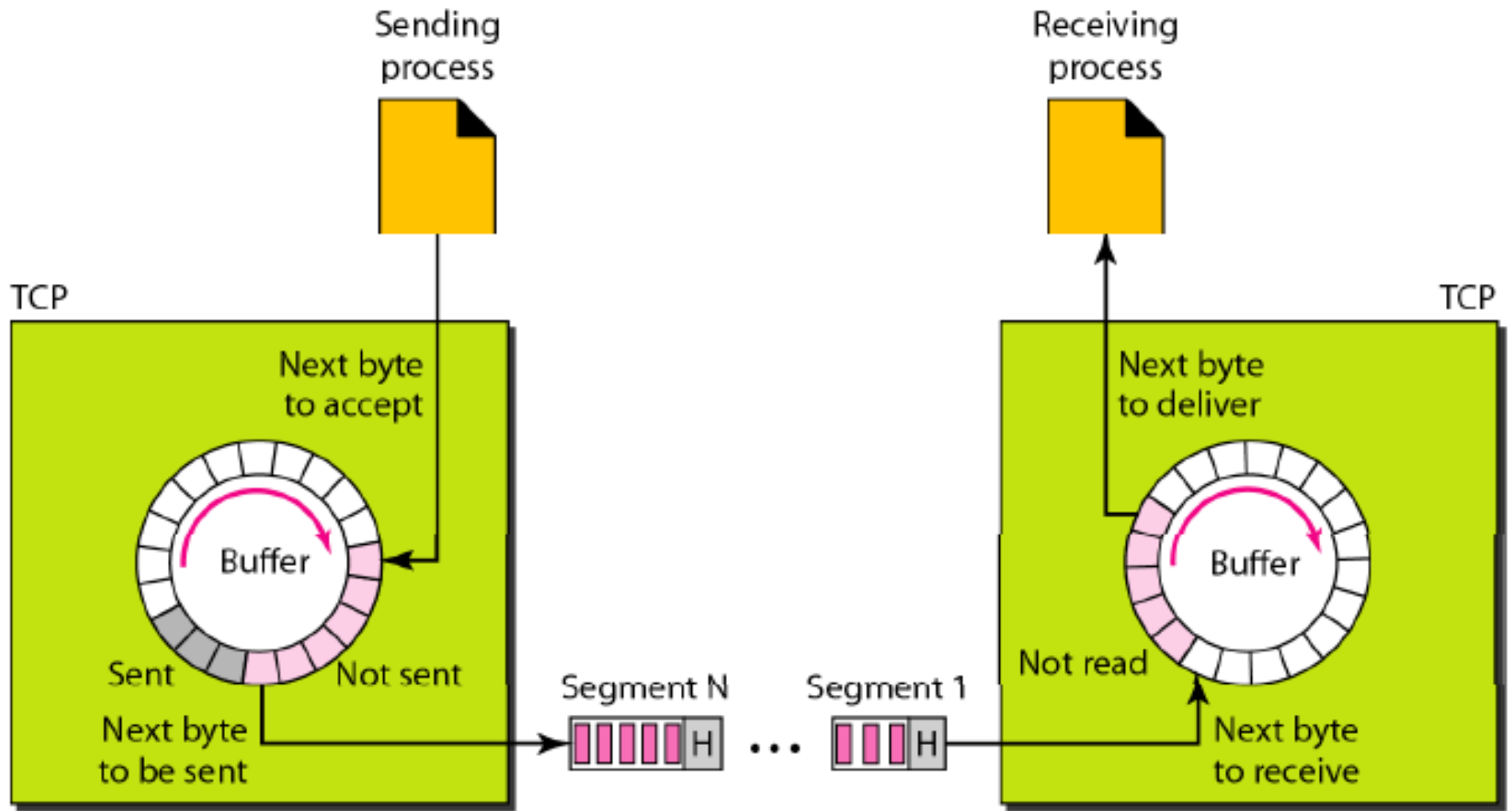




# Transmission Control Protocol (2)

<i>Port</i>	<i>Protocol</i>	<i>Description</i>
7	Echo	Echoes a received datagram back to the sender
9	Discard	Discards any datagram that is received
11	Users	Active users
13	Daytime	Returns the date and the time
17	Quote	Returns a quote of the day
19	Chargen	Returns a string of characters
20	FTP, Data	File Transfer Protocol (data connection)
21	FTP, Control	File Transfer Protocol (control connection)
23	TELNET	Terminal Network
25	SMTP	Simple Mail Transfer Protocol
53	DNS	Domain Name Server
67	BOOTP	Bootstrap Protocol
79	Finger	Finger
80	HTTP	Hypertext Transfer Protocol
111	RPC	Remote Procedure Call

# Buffer



# TCP Features

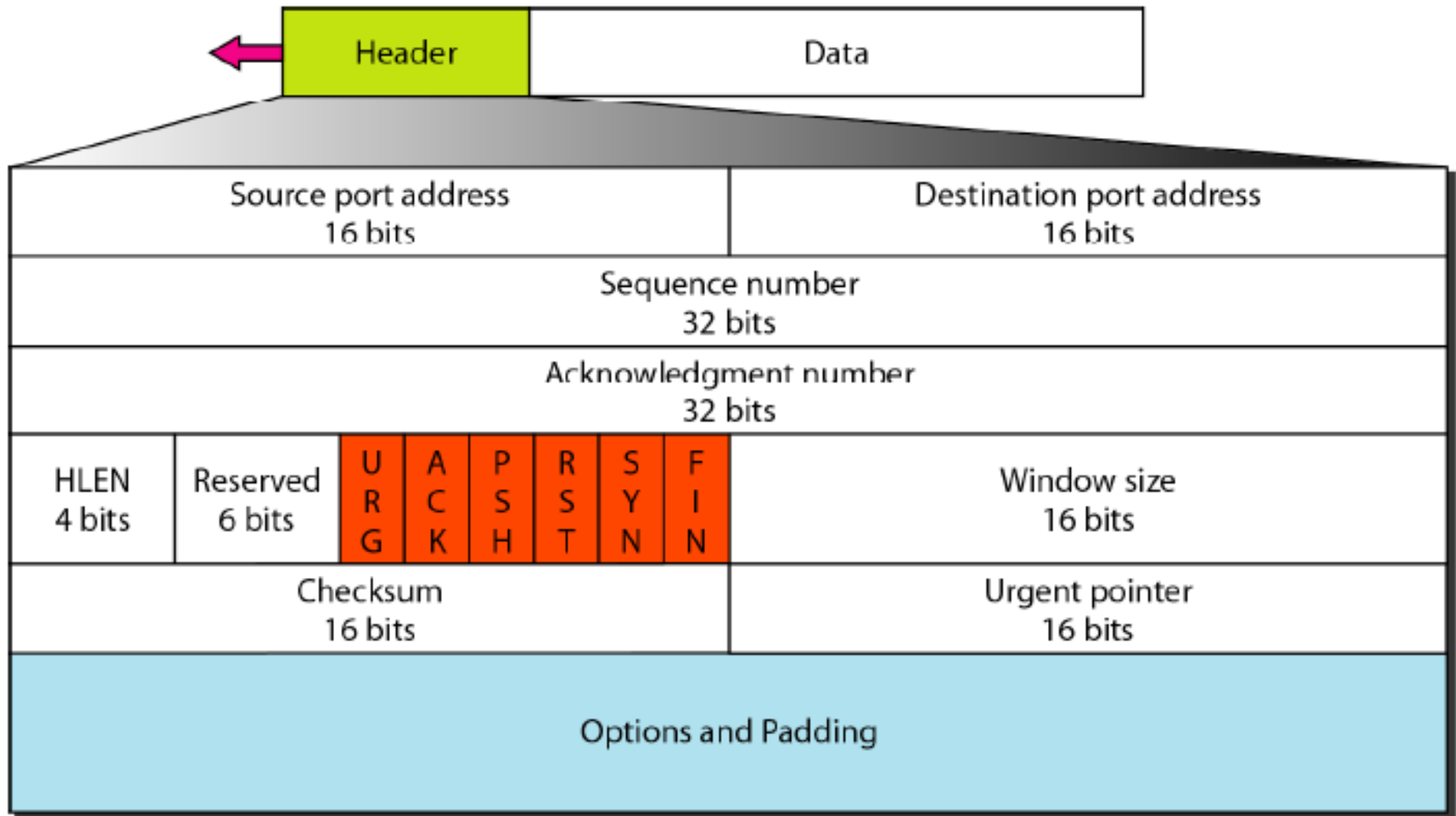
- **Numbering System**

- Byte number – TCP numbers all data bytes that are transmitted in a connection. Numbering is independent in each direction and starts with a randomly generated number between 0 and  $2^{32}-1$
- TCP assigns a **sequence number** to each segment that is being sent. The sequence number for each segment is the number of the first byte carried in that segment
- When a connection is established, both parties can send and receive data at the same time. Each party uses an **acknowledge number** to confirm the bytes it has received

# TCP Features (2)

- **Flow control** – receiver of data controls the amount of data that are to be sent by the sender
- **Error control** – to provide reliable service, TCP implements an error control mechanism
- **Congestion control** – amount of data sent by the sender is not only controlled by the receiver, but is also determined by the level of congestion in the network

# TCP Segment Format



# TCP Segment Format (2)

- **Source port address** – 16-bit field that defines the port number of the application program in the host that is sending the segment
- **Destination port address** – 16-bit field that defines the port number of the application program in the host that is receiving the segment
- **Sequence number** – 32-bit field that defines the number assigned to the first byte of data contained in the segment. During connection establishment, each party uses a random number generator to create an **initial sequence number** (ISN)

# TCP Segment Format (3)

- **Acknowledgment number** – 32-bit field that defines the byte number that the receiver of the segment is expecting to receive from the other party
- **Header length** – 4-bit field indicates the number of the 4-byte word in the TCP header
- **Reserved** – 6-bit field for future use
- **Control** – 6 different control bits or flags

URG: Urgent pointer is valid  
ACK: Acknowledgment is valid  
PSH: Request for push

RST: Reset the connection  
SYN: Synchronize sequence numbers  
FIN: Terminate the connection



# TCP Segment Format (4)

- **Window size** – 16-bit field that defines the size of the window, in bytes, that the other party must maintain. Normally referred to as the receiving window (rwnd) and has max value of 65,535 bytes
- **Checksum** – 16-bit field where the calculation is same as UDP. Inclusion of the checksum in TCP is mandatory while it is optional in UDP
- **Urgent pointer** – 16-bit field that defines the number that must be added to the sequence number to obtain the number of the last urgent byte in the data section of the segment
- **Options** – Can be up to 40-bytes of optional information

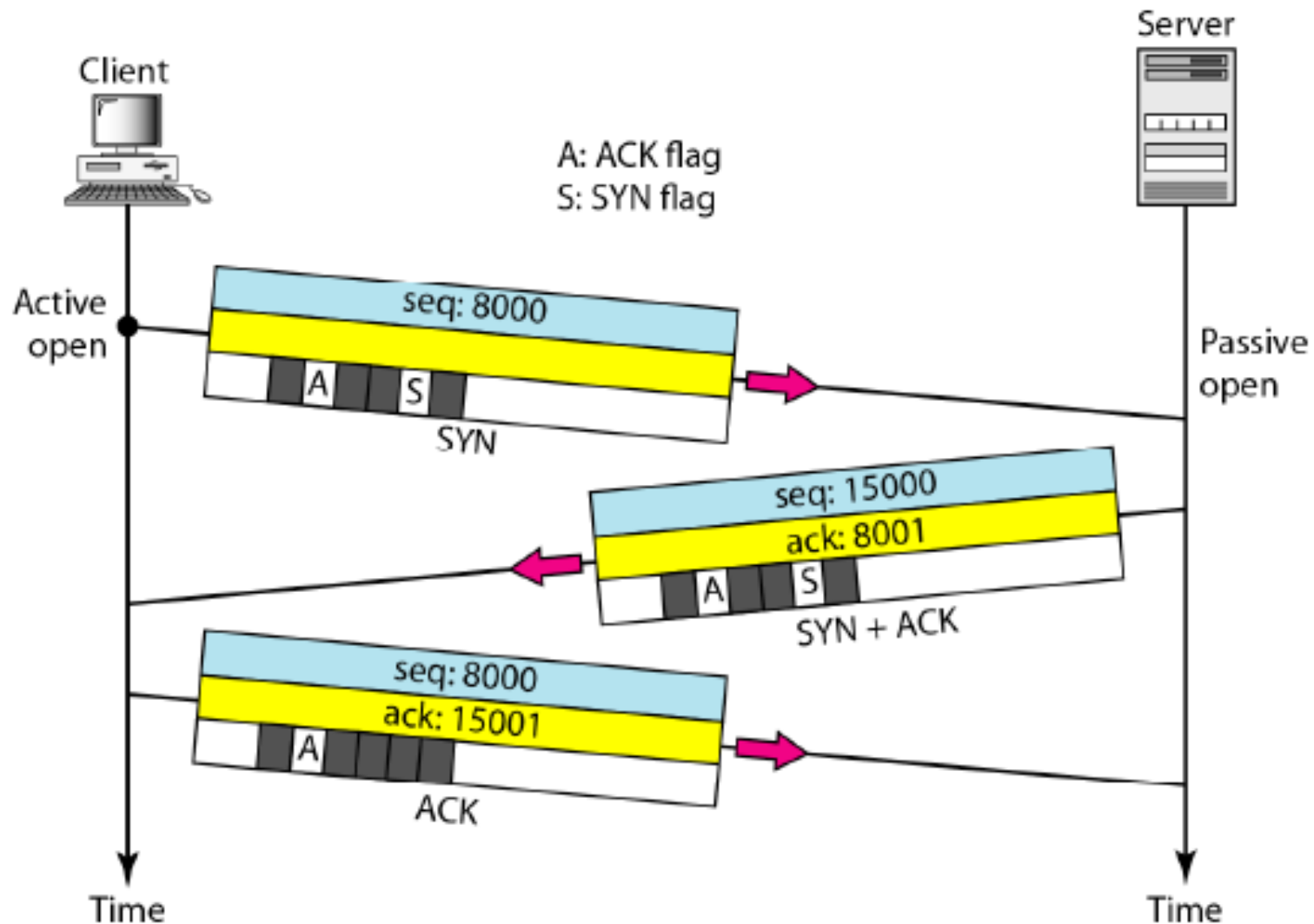


# TCP Connection

- TCP establishes a virtual path between the source and destination
- TCP uses the services of IP to deliver individual segments to the receiver, but it controls the connection itself
- It requires 3 phases: **connection establishment**, **data transfer**, and **connection termination**

# Connection Establishment

## Three-way Handshaking



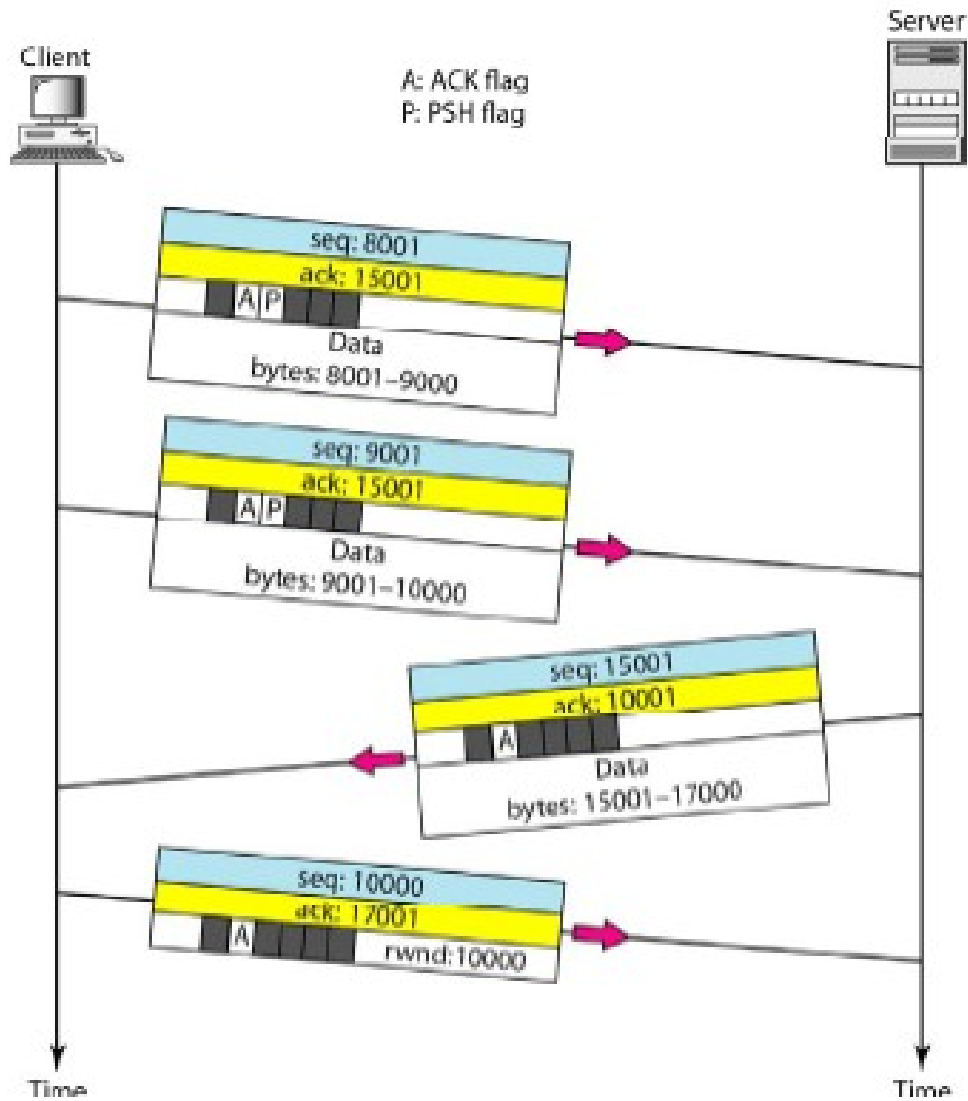
# Connection Establishment (2)

- Process starts with the server where it tells its TCP that it is ready to start a connection (**passive open**)
- A client that wishes to connect to an open server tells its TCP that it needs to be connected to that particular server (**active open**)
- A rare situation (**simultaneous open**) may occur when both processes issue an active open. Both TCPs transmit a SYN + ACK segment to each other, and one single connection is established between them

# Connection Establishment (3)

- Susceptible to the **SYN flooding attack**
  - Malicious attacker sends a large number of SYN segments to a server, pretending that each of them is coming from different clients by faking the source IP address in the datagrams
  - The server, assuming that the clients are issuing an active open, allocates the necessary resources and then sends the SYN + ACK segments to the fake clients which are lost

# Data Transfer

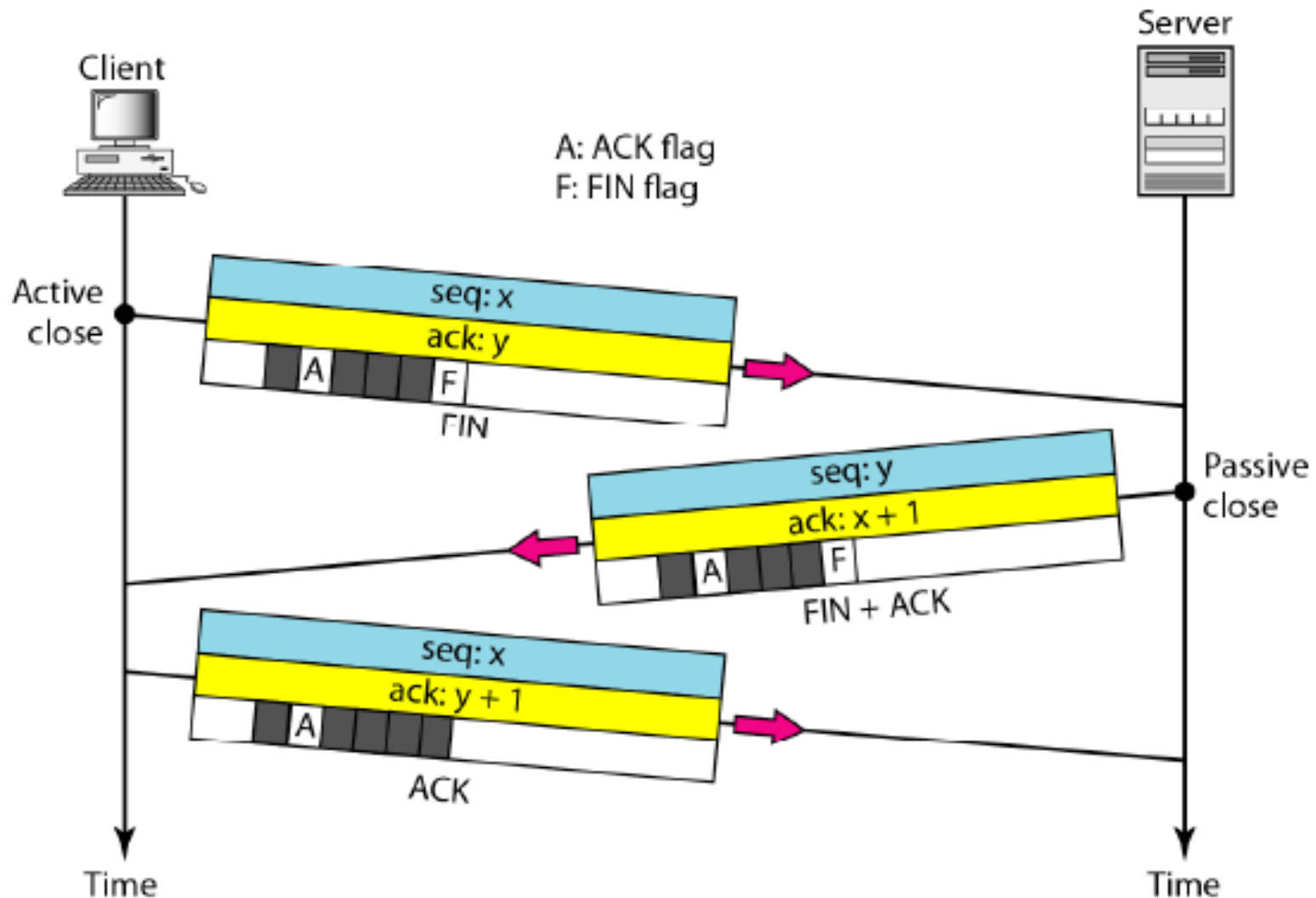


# Data Transfer (2)

- After connection is established, bidirectional data transfer can take place. The client and server can both send data and acknowledgments
- **Pushing Data** – The sending TCP must not wait for the window to be filled, it must create a segment and send it immediately
- **Urgent Data** – the sending application program wants a piece of data to be read out of order by the receiving application program

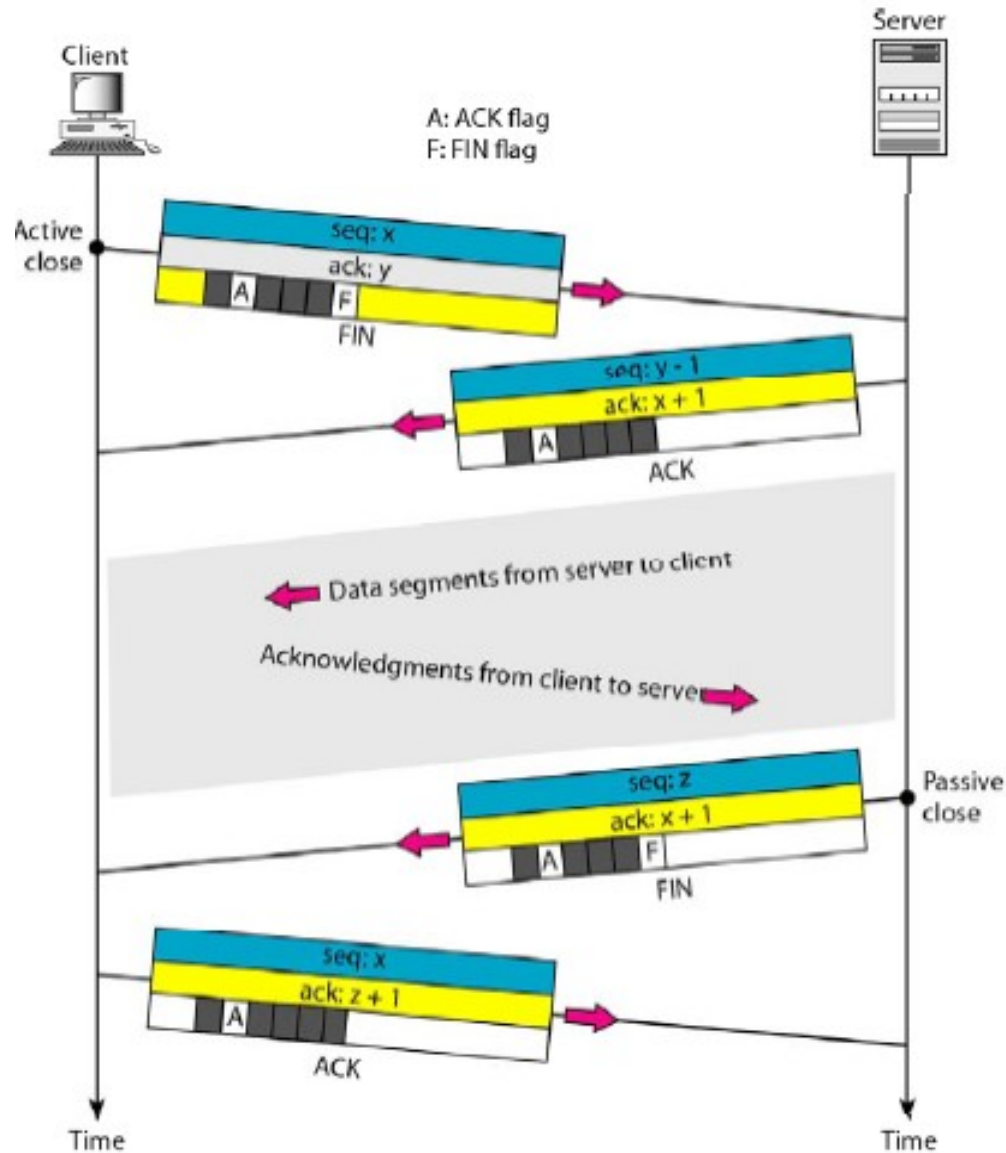
# Connection Termination

## Three-way Handshaking



# Connection Termination (2)

## Four-way handshaking with half-close option





# Stream Control Transmission Protocol

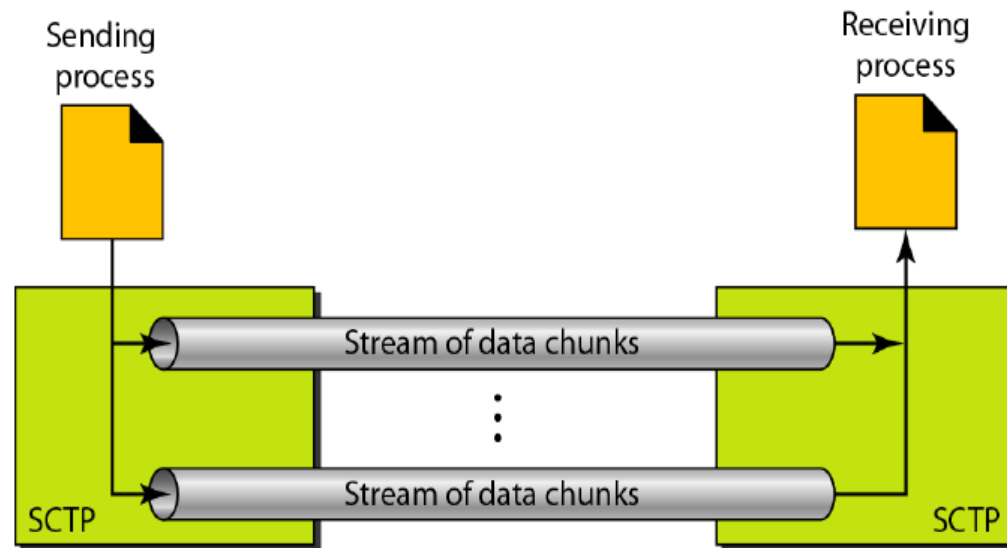
- New reliable, message-oriented transport layer protocol
- Preserves the message boundaries and at the same time detects lost data, duplicate data and out-of-order data
- It has also congestion control and flow control mechanisms

# Stream Control Transmission Protocol (2)

<i>Protocol</i>	<i>Port Number</i>	<i>Description</i>
IUA	9990	ISDN over IP
M2UA	2904	SS7 telephony signaling
M3UA	2905	SS7 telephony signaling
H.248	2945	Media gateway control
H.323	1718, 1719, 1720, 11720	IP telephony
SIP	5060	IP telephony

# SCTP Services

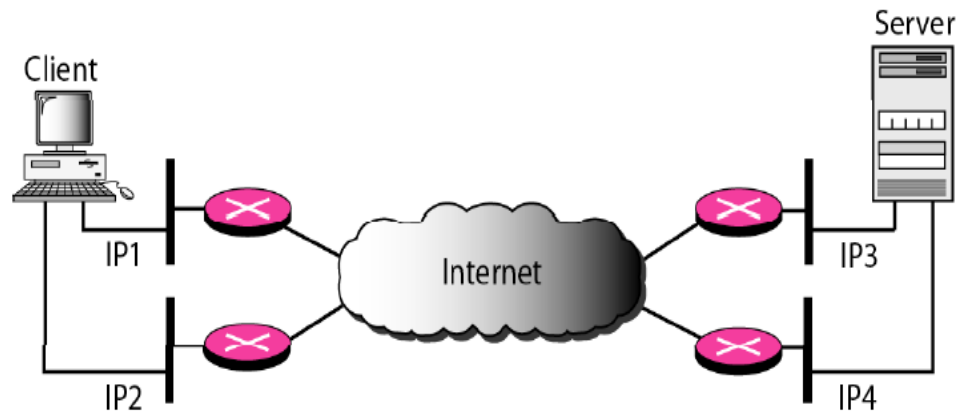
- **Multiple streams**
  - *Association* in SCTP terminology



# SCTP Services (2)

- **Multihoming**

- Sending and receiving host can define multiple IP addresses in each association
- When one path fails another interface can be used for data delivery without interruption



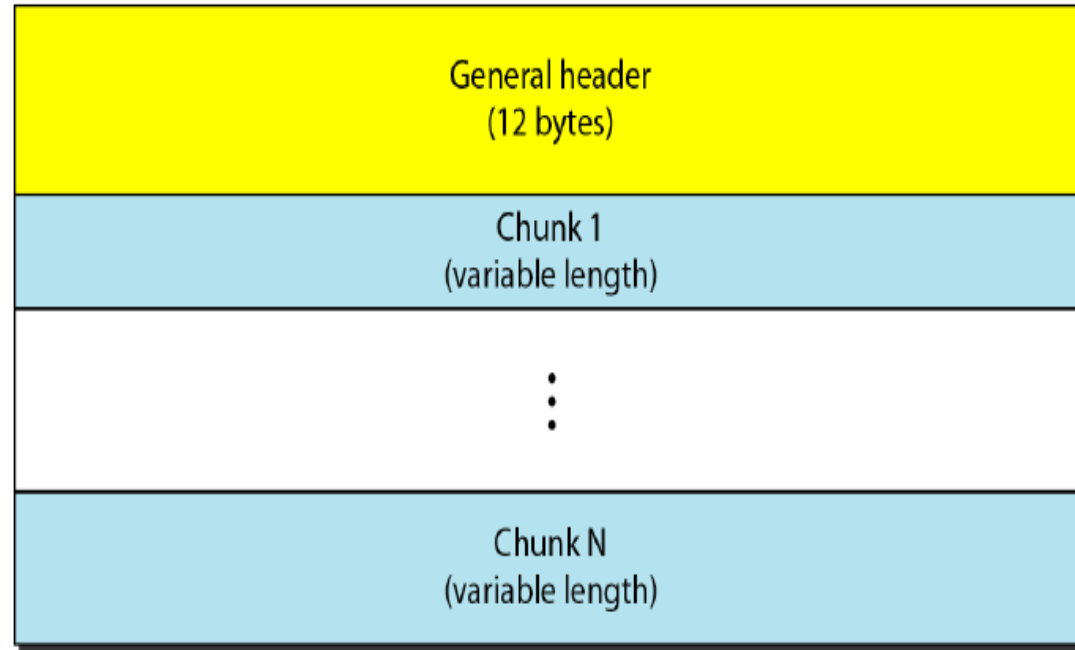
# SCTP Feature

- **Transmission Sequence Number (TSN)** – unit of data in SCTP is a **data chunk**. Data transfer is controlled by numbering the data chunk using TSN
- **Stream Identifier (SI)** – each stream in SCTP needs to be identified
- **Stream Sequence Number (SSN)** – SCTP defines each data chunk in each stream

# SCTP Feature (2)

- **Packets** – data are carried as data chunks, control information is carried as control chunks. Several control chunks and data chunks can be packed together in a packet

# SCTP Packet Format



- In an SCTP, control chunks come before data chunks

# SCTP General Header

Source port address 16 bits	Destination port address 16 bits
Verification tag 32 bits	
Checksum 32 bits	

- **Source Port Address** and **Destination Address** – 16-bit field that defines the port numbers of the source and destination respectively
- **Verification tag** – number that matches a packet to an association. It serves as an identifier for the association and is repeated in every packet during the association
- **Checksum** – 32-bit field contains a CRC-32 checksum



# Chunks

<i>Type</i>	<i>Chunk</i>	<i>Description</i>
<b>0</b>	<b>DATA</b>	User data
<b>1</b>	<b>INIT</b>	Sets up an association
<b>2</b>	<b>INIT ACK</b>	Acknowledges INIT chunk
<b>3</b>	<b>SACK</b>	Selective acknowledgment
<b>4</b>	<b>HEARTBEAT</b>	Probes the peer for liveness
<b>5</b>	<b>HEARTBEAT ACK</b>	Acknowledges HEARTBEAT chunk
<b>6</b>	<b>ABORT</b>	Aborts an association
<b>7</b>	<b>SHUTDOWN</b>	Terminates an association
<b>8</b>	<b>SHUTDOWN ACK</b>	Acknowledges SHUTDOWN chunk
<b>9</b>	<b>ERROR</b>	Reports errors without shutting down
<b>10</b>	<b>COOKIE ECHO</b>	Third packet in association establishment
<b>11</b>	<b>COOKIE ACK</b>	Acknowledges COOKIE ECHO chunk
<b>14</b>	<b>SHUTDOWN COMPLETE</b>	Third packet in association termination
<b>192</b>	<b>FORWARD TSN</b>	For adjusting cumulative TSN

# Comparison between a TCP segment and a SCTP packet

